

# Sequences: seq2seq

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## seq2seq (Cho et al. 2014; Sutskever et al. 2014)

Input  $x_{1:n}$   
Output  $y_{1:m}$

$$\begin{cases} h_{1:n} = \text{encoder}(x_{1:n}) \in \mathbb{R}^{n \times d} \\ y_{1:m} = \text{decoder}(h_{1:n}) \end{cases}$$

|            | encoder   | decoder         |                 | citations    |
|------------|-----------|-----------------|-----------------|--------------|
| 2014       | lstm      | lstm            | hochreiter 1997 | 135143 +491  |
| Still 2014 | gru       | attention & gru | bahdanau 2014   | 40780 +63    |
| 2017       | attention | attention       | vaswani 2017    | 209004 -8902 |
| 2018 BERT  | attention |                 | devlin 2018     | 146686 +604  |
| 2018 GPT   |           | attention       | radford 2018    | 16133 +111   |

## $k$ nearest neighbors

Who saw this in high school?

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**Algorithm 1**  $k$  nearest neighbors among  $n$  in  $d$  dimensions

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**for all**  $i$  from 1 to  $n$  **do**

    Compute distance from  $\mathbf{x}$  to  $\mathbf{x}_i$ , i.e.  $\|\mathbf{x} - \mathbf{x}_i\|^2$

Find  $k$  nearest neighbors of  $\mathbf{x}$ , argmin distance

Compute average of their  $y_i$ , or the majority class

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- ▶ Complexity to find neighbors of  $\mathbf{x}$  in  $X$  ?  $O(nd + kn)$
- ▶ For every token in the sequence:  $O(mnd + mkn)$

## $k$ nearest neighbors

Who saw this in high school?

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**Algorithm 2**  $k$  nearest neighbors among  $n$  in  $d$  dimensions

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**for all**  $i$  from 1 to  $n$  **do**

    Compute similarity between  $\mathbf{x}$  and  $\mathbf{x}_i$ , i.e.  $\mathbf{x}^T \mathbf{x}_i$

Find  $k$  nearest neighbors of  $\mathbf{x}$ , argmax similarity

Compute average of their  $y_i$ , or the majority class

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- ▶ Complexity to find neighbors of  $\mathbf{x}$  in  $X$  ?  $O(nd + kn)$
- ▶ For every token in the sequence:  $O(mnd + mkn)$

## Variant: weighted $k$ nearest neighbors

Similarity  $w_i = \mathbf{x}_{test}^T \mathbf{x}_{train_i} / N_z$  (renormalized to sum to 1)

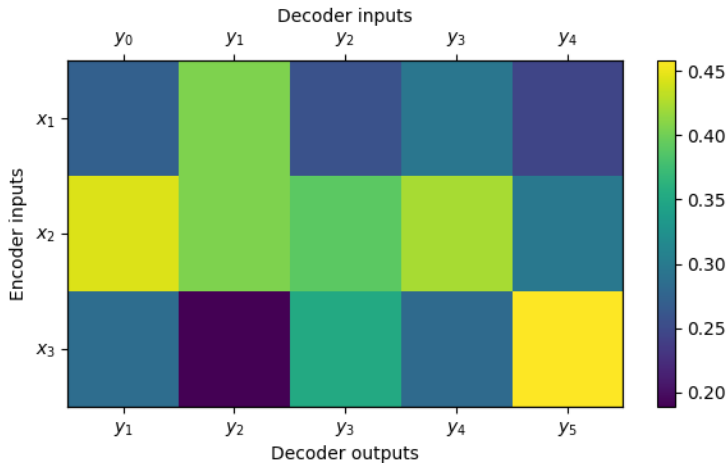
I predict for  $\mathbf{x}_{test}$  :  $\hat{y} = \sum_{i=1}^n w_i y_{train_i}$

Attention is  $\text{softmax}(QK^T)V$

A linear combination of values  $V$  with weights corresponding to similarity (e.g. dot product) between queries  $Q$  and keys  $K$

Understanding KNN is a first step to understand attention mechanism.

# Attention (Bahdanau, Cho, and Bengio 2015)



$$h_{1:n} = \text{encoder}(x_{1:n})$$

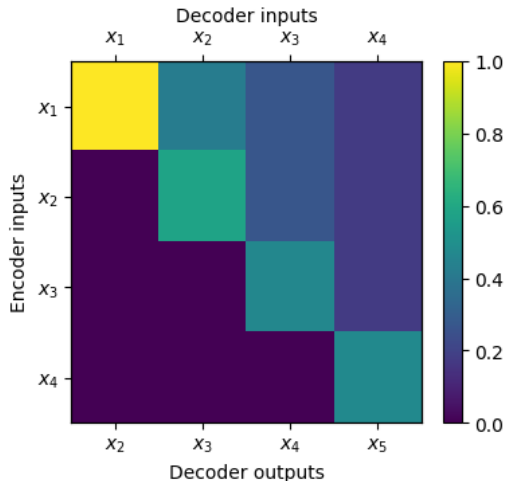
$$\alpha_{ij} = \text{softmax}_i(s_j^T h_i)$$

Output  $y_{j+1}$  depends on

$$y_j \text{ and } \sum_{i=1}^n \alpha_{ij} h_i.$$

Dzmitry Bahdanau, Kyunghyun Cho, and Yoshua Bengio (2015). “Neural Machine Translation by Jointly Learning to Align and Translate”. In: *3rd International Conference on Learning Representations, ICLR 2015, San Diego, CA, USA, May 7-9, 2015, Conference Track Proceedings*. Ed. by Yoshua Bengio and Yann LeCun. URL: <http://arxiv.org/abs/1409.0473>

## Masked self-attention (autoregressive)



$$q_{1:n}, k_{1:n}, v_{1:n} = \text{Linear}(x_{1:n})$$

$$\alpha_{ij} = \text{softmax}_i(q_j^T k_i)$$

Output  $x_{j+1}$  depends on

$$\sum_{i=1}^j \alpha_{ij} v_i.$$

Ashish Vaswani et al. (2017). "Attention is all you need". In: *Advances in neural information processing systems* 30

-  Bahdanau, Dzmitry, Kyunghyun Cho, and Yoshua Bengio (2015). “Neural Machine Translation by Jointly Learning to Align and Translate”. In: *3rd International Conference on Learning Representations, ICLR 2015, San Diego, CA, USA, May 7-9, 2015, Conference Track Proceedings*. Ed. by Yoshua Bengio and Yann LeCun. URL: <http://arxiv.org/abs/1409.0473>.
-  Cho, Kyunghyun et al. (2014). “Learning Phrase Representations using RNN Encoder–Decoder for Statistical Machine Translation”. In: *Proceedings of the 2014 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pp. 1724–1734.
-  Devlin, Jacob et al. (2019). “Bert: Pre-training of deep bidirectional transformers for language understanding”. In: *Proceedings of the 2019 conference of the North American chapter of the association for computational linguistics: human language technologies, volume 1 (long and short papers)*, pp. 4171–4186.
-  Hochreiter, Sepp and Jürgen Schmidhuber (1997). “Long short-term memory”. In: *Neural computation* 9.8, pp. 1735–1780.
-  Radford, Alec et al. (2018). “Improving language understanding by generative pre-training”. In.





Vaswani, Ashish et al. (2017). “Attention is all you need”. In: *Advances in neural information processing systems* 30.